

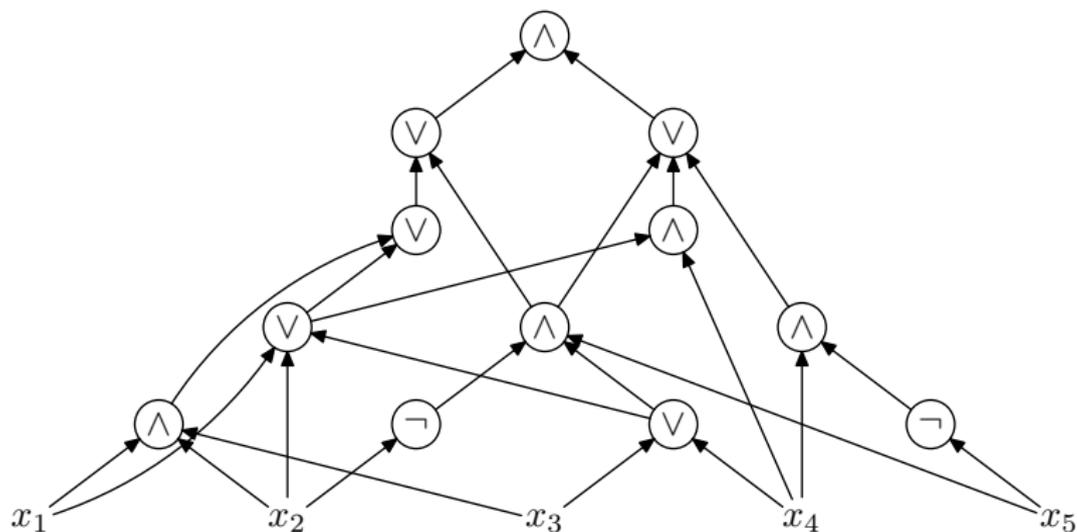
Parameterized Complexity Theory

Definition

A **boolean circuit** is an acyclic graph such that:

- ▶ There is exactly one vertex with outdegree 0, the **Output**.
- ▶ Every vertex with indegree 0 is an **Input** and labeled by x_i or $\neg x_i$.
- ▶ All other vertices are **gates** and labeled by \wedge , \vee or \neg (with indegree 1).

Parameterized Complexity Theory



To find out whether a boolean circuit has a satisfying assignment is *NP*-complete.

Parameterized Complexity Theory

Definition

Let $\mathcal{F}(t, h)$ be the set of all boolean circuits with height h and weft t .

Definition

The **weighted satisfiability problem** $L_{\mathcal{F}(t,h)}$:

Input: (G, k) , where $G \in \mathcal{F}(t, h)$

Parameter: k

Question: Has G a satisfying assignment of weight k

The **weight** of an assignment is the number of 1s.

Parameterized Complexity Theory

Definition

A parameterized problem is in the complexity class $W[t]$ if it can be reduced to $L_{\mathcal{F}(t,h)}$ for some h by a parameterized reduction.

Example

Independent Set is in $W[1]$.

Dominating Set is in $W[2]$.

Question: Why?

Parameterized Complexity Theory

Definition

A problem L is $W[t]$ -hard, if every problem in $W[t]$ can be reduced to L by a parameterized reduction.

Definition

A problem is $W[t]$ -complete, if it belongs to $W[t]$ and is $W[t]$ -hard.

Parameterized Complexity Theory

Theorem

Let A be a $W[t]$ -complete problem.

Assume that A can be reduced to B by a parameterized reduction and $B \in W[t]$.

Then B is also $W[t]$ -complete.

Proof

We already assumed that $B \in W[t]$.

Since every problem in $W[t]$ can be reduced to A , the $W[t]$ -hardness follows from the transitivity of parameterized reducibility.

Short Turing Machine Acceptance

We will see later that the following problem is $W[1]$ -complete:

Definition

Short Turing Machine Acceptance:

Input: A non-deterministic Turing machine M , a word w , a number k .

Parameter: k

Question: Does M have an accepting path of length at most k on input w ?

The class $W[1, s]$ and $W[1, 2]$

We consider the weighted satisfiability problem for very simple circuits.

Definition

Let $s > 1$ be a number. By $\mathcal{F}(s)$ we denote the family of all circuit whose output is an AND-gate that is connected to OR-gates with indegrees at most s . The OR-gates are directly connected to inputs (literals, i.e., variables or negated variables).

We define $W[1, s]$ as the class of all problems in $W[1]$ that can be reduced to $L_{\mathcal{F}(s)}$ by a parameterized reduction.

We will prove the following theorem:

Theorem

Short Turing Machine Acceptance $\in W[1, 2]$

For this end we need a reduction from Short Turing Machine Acceptance to $L_{\mathcal{F}(2)}$.

We have to map M, w, k to a circuit and a number $k' = f(k)$ in such a way that there is a satisfying assignment of weight k' iff M accepts w in at most k steps.

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We can enumerate all configurations of M and therefore identify them with the numbers $1, 2, \dots$

A configuration consists of

- ▶ the position of the read/write-head,
- ▶ the state.

If i is a configuration and a is a symbol, then let $\delta(i, a) = (j, b)$ hold if M changes from configuration i into j when reading the symbol a overwriting it with b .

We model with the variable $C_{t,i,j,a,b}$ that M changes from configuration i to j in the t th step while reading an a and overwriting it with a b .

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The variable $M_{t,p,a,b}$ models that at the beginning of the t th step the symbol a can be found at the p th position of the tape and that it is overwritten with a b during this step.

Our next goal is to construct a formula whose satisfying assignments model a computation of the Turing machine M .

In particular there will be a satisfying assignment with $C_{t,i,j,a,b} = 1$ iff there is a computation where M goes from configuration i to j in its t th step reading an a and overwriting it with a b .

Every possible computation path should correspond to exactly one (weighted) satisfying assignment.

Moreover: There should exist only accepting computation of length k and satisfying assignments with weight $f(k)$.

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Moreover: There should exist only accepting computation of length k and satisfying assignments with **weight $f(k)$** .

We need a lot of constraints in order to make this model work.

We will express each constraint by an AND of ORs.

We define clauses in such a way that a wrong modelling automatically leads to a non-satisfying assignment.

In that way, satisfying assignments are those that do not overstep any rule.

Rule 1:

“Nothing exists twice because we have a computation **path**”

More precisely: In step t the machine M is in exactly one state, changes to exactly one other, reads exactly one symbol, and overwrites it by exactly one symbol.

How can we enforce Rule 1 by clauses?

$$C_{t,i,j,a,b} \rightarrow \overline{C_{t,i',j',a',b'}}$$

or, equivalently,

$$\overline{C_{t,i,j,a,b}} \vee \overline{C_{t,i',j',a',b'}}$$

for all $t, i, j, a, b, i', j', a', b'$ with $(i, j, a, b) \neq (i', j', a', b')$ and

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Question:

Why only one possibility? We have nondeterministic TMs after all?

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Rule 2:

“ M and C must fit together.”

More precisely: If we read a symbol, it has to be there beforehand.
If we write a symbol, it must be there afterwards.

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$$C_{t,i,j,a,b} \rightarrow M_{t,p(i),a,b}$$

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More precise Question: Do we need the other direction, too? beforehand.

If we write a “If there is a symbol on the tape, then

this symbol is read.”

How can we

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for all t, i, j, a, b , where $p(i)$ is the position of the read/write head in configuration i .

Rule 3:

“Subsequent steps have to fit together.”

More precisely: If one step ends with a configuration, the next step has to start with the same one. The tape content cannot change from step t to step $t + 1$ at most places.

How can we enforce Rule 3 by clauses?

$$C_{t,i,j,a,b} \rightarrow \overline{C_{t+1,i',j',c,d}}$$

for all $t, i, j, i', j', a, b, c, d$ with $i' \neq j$ and

$$M_{t,p,a,b} \rightarrow \overline{M_{t+1,p,c,d}}$$

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Rule 4:

“The beginning and the end have to be correct. The computation path must be accepting.”

→ Exercise

Because all rules have to hold simultaneously we can combine them with a big AND.

This yields an $\mathcal{F}(2)$ -formula as desired.

There is an accepting path of length k iff there is a satisfying assignment with weight k' .

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Because all rules have to hold simultaneously we can combine them

Question:

How big is k' ?

This yields an $\mathcal{L}(\mathcal{L})$ -formula as desired.

There is an accepting path of length k iff there is a satisfying assignment with weight k' .

Remember:

We just proved the following.

Theorem

Short Turing Machine Acceptance $\in W[1, 2]$

The class antimonotone- $W[1, s]$

We consider the weighted satisfiability problem for very simple structured circuits.

Definition

Let $s > 1$ be a number. By antimonotone- $\mathcal{F}(s)$ we denote the family of all circuits whose output is an AND-gate connected to OR-gates with indegree at most s . The OR-gates are connected to **negative** literals only (negated variables).

Antimonotone- $W[1, s]$ is the class of all parameterized problems in $W[1]$ that can be reduced to $L_{\text{Antimonoton-}\mathcal{F}(s)}$ by a parameterized reduction.

Theorem

$L_{\text{Antimonoton-}\mathcal{F}(s)}$ can be reduced to Short Turing Machine Acceptance by a parameterized reduction.

Corollary

$\text{antimonotone-}W[1, s] \subseteq \text{antimonotone-}W[1, 2]$

Proof

Construct a Turing machine that works as follows:

1. Guess k variables onto the tape.
2. Visit all subsets of size s of them.
3. Verify for each subset that it does not cover a clause.

Our real goal is:

$W[1] \subseteq \text{antimonotone-}W[1, 2]$

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Question:

Proof

What is the running time?

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The class $W[1, 1, s]$

Now we consider the weighted satisfiability problem for different, but still very simple circuits.

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Simplification of Weft-1-Circuits

Theorem

*Consider a circuit of weft 1 and height h .
Then we can construct an equivalent circuit $\mathcal{F}(1, 1, s)$ in polynomial time, where s depends only on h .*

Proof

- ▶ DNF und CNF
- ▶ de Morgan
- ▶ Combination of gates of same type
- ▶ Distributive law

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$L_{\mathcal{F}(1,1,s)}$

Goal: Reduce $L_{\mathcal{F}(1,1,s)}$ to STMA.

Intermediate step:

Reduce $L_{\mathcal{F}(1,1,s)}$ to one TM M_i for all subcircuits below the output gate.

One TM guesses which M_i will be used for the simulation.

Still not known:

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Reduction of $L_{\mathcal{F}(1,s)}$ to STMA:

Construct a TM that guesses an assignment on the tape and then computes two numbers:

- ▶ $A =$ the number of clauses that are satisfied by negated variables.
- ▶ $M =$ the number of clauses that are satisfied **only** by positive literals.

Assignment is satisfying iff $A + M =$ number of clauses.

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