

Analysis of Algorithms

Problem 7-1

Consider the following algorithm that searches an element x in a sorted array a of length $n = km + 1$:

```
1 i = 1;
  while ( a[i] <= x ){
3   if ( a[i] = x ) then return i;
    i = i + m;
5   if ( i > n ) return 0;
  }
7 for ( int j = i - 1; j >= max( 1, i - (m - 1) ); --j){
    if ( a[j] = x ) then return j;
9   if ( a[j] < x ) then return 0;
  }
11 return 0;
```

- Draw the search tree and compute the internal and external path length for $n = 10$ and $m = 3$.
- Determine C^+ and C^- for arbitrary m, k .
- What is, for given n , the best choice for m w.r.t. the running time?

Problem 7-2

Consider the following two programs for searching elements in ordered arrays: Determine the

```
1 int binsearch( double v )
  {
3   int l, r, m;
    l = 1; r = n;
5   while ( l <= r ) {
      m = ( r + 1 ) / 2;
7     if ( v == a[m] ) return 1;
      if ( v < a[m] ) r = m - 1; else l
        = m + 1;
9   }
    return 0;
11 }
```

```
1 int binsearch2( double v )
  {
3   int l, r, m;
    l = 1; r = n;
5   while ( r - l > 1 ) {
      m = ( r + l ) / 2;
7     if ( v < a[m] ) r = m - 1; else l
        = m;
9   }
    if ( a[l] == v ) return 1;
    if ( a[r] == v ) return 1;
11 return 0;
  }
```

number of executions of **if**-statements in both problems when searching for an element v , in case of both, the successful and unsuccessful search.

Please give an exact solution for *binsearch* and an estimation of the form $f(n) + O(1)$ for *binsearch2*.

Prerequisites:

- The array contains n *different* elements.
- For the successful search, each element is searched for with equal probability.
- For the unsuccessful search, v is chosen randomly, s.t., with probability $\frac{1}{n+1}$ is “in” one of the $n + 1$ possible gaps.

Solution:

The first program was handled in the lecture. We therefore know the values $C^- = \lfloor \log(n + 1) \rfloor + 2 - 2^{1 - \{\log(n+1)\}}$ and $C^+ = \dots$

In an unsuccessful search, $2C^-$ **if**-instructions are executed, since there are C^- runs and each run contains two **if**-instructions. In an successful search, we require $2C^+ - 1$ **if**-instructions, because the second **if**-instructions in the last run is not reached anymore.

Let us now consider `binsearch2`. For an array with n elements, let B_n denote the average number of **if**-instructions executed. If $n < 3$, the **while** is not entered at all. In the case of an unsuccessful search we therefore obtain $B_1 = B_2 = 2$. For a successful search, $B_1 = 1$, but $B_2 = \frac{1}{2}(1 + 2) = \frac{3}{2}$.

For $n \geq 3$, the **while**-loop is entered and one **if**-instruction is used per iteration of the **while**. If $a[m] > v$, the algorithm searches on the left of the current element, and otherwise on the right. The remaining array thus becomes shorter, either $\lfloor n/2 \rfloor$ or $\lceil n/2 \rceil$. This gives us two recurrences:

$$\begin{aligned}\overline{B}_n &= \overline{B}_{\lfloor n/2 \rfloor} + 1 \\ \underline{B}_n &= \underline{B}_{\lfloor n/2 \rfloor} + 1\end{aligned}$$

for $n \geq 3$.

Homework Assignment 7-1 (10 Points)

Consider the following algorithms for searching an element x in an ordered array a of length n . Here, m , is some fixed, but known integer.

```

1 int search( int x ) {
2   int l, r, i;
3   l = 1;
4   r = n;
5   while( r - l >= m ) {
6     i = ( l + r ) / 2;
7     if ( a[i] == x ) return 1;
8     if ( x < a[i] ) r = i - 1; else l = i + 1;
9   }
10  for( i = 1; i <= r; ++i ) {
11    if ( a[i] == x ) return 1;
12    if ( a[i] < x ) return 0;
13  }
14  return 0;
15 }
```

Draw the search tree and compute internal and external path lengths for $n = 17$ and $m = 3$.

Homework Assignment 7-2 (10 Points)

Complete the analysis of the average number of times the `if`-instructions are executed in `binsearch2` for both a successful and an unsuccessful search (see Problem 7-2). First show that for all $k \geq 0$, the following hold:

1. $\lfloor \lfloor n/2^k \rfloor / 2 \rfloor = \lfloor n/2^{k+1} \rfloor$.

2. $\lceil \lceil n/2^k \rceil / 2 \rceil = \lceil n/2^{k+1} \rceil$.

Next, give a detailed analysis of the recurrences:

$$\bar{B}_n = \bar{B}_{\lfloor n/2 \rfloor} + 1$$

$$B_n = B_{\lfloor n/2 \rfloor} + 1.$$