

Planar Separator Theorem

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- 1 Introduction
- 2 The Theorem
- 3 An Algorithm for finding a good partition
- 4 Applications

- 1 Dividing the problem recursively into smaller ones of the same type can speed up their solving substantially.
- 2 Some already known examples may be:
 - Merge sort
 - Quicksort
- 3 Enables for even quicker solution using parallel programming to solve the subproblems simultaneously.
- 4 Easier memory access, because a small enough subproblem can be solved only using the cache for example.

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Some useful theorems

Theorem 1

Any closed curve in the plane divides it into an "inside" and "outside" region

Theorem 2 (Kurtakowski's theorem)

A graph is planar if and only if it contains neither K_5 or $K_{3,3}$ as a generalized subgraph



Theorem 3

Shrinking any edge to a single vertex preserves planarity.

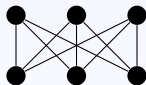
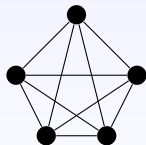
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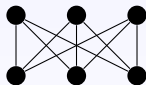
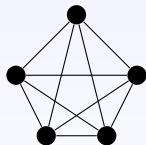
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Lemma 1

Given

- G is a connected planar graph
- G 's vertices have nonnegative costs summing to no more than one
- G contains a spanning tree with radius r

Result

then we can partition the vertices so that:

- no edges connect A and B
- A and B both cost at most $2/3$
- C contains at most $2r + 1$ vertices

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Proof of Lemma 1

- 1 Embed G in the plane.
- 2 Triangulate.
- 3 Every nontree edge forms a simple cycle with some of the tree edges.
- 4 This cycle divides the plane into 2 parts. (Theorem 1)
- 5 At least one of those cycles separates the graph into parts, whose cost does not exceed $2/3$
- 6 The length of this cycles is at most $2r + 1$ where r is the radius of the spanning tree.

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Lemma 2 (Leveling)

Given

- G is a connected planar graph
- G 's vertices have nonnegative costs summing to no more than one
- G 's vertices are partitioned into levels according to their distance from some vertex v
 - $L(l)$ is the number of vertices on level l
 - r (radius) is the maximum distance from v
 - Let $r+1$ be an additional layer without any vertices
- Given l_1 and l_2 such that
 - Vertices on levels 0 through $l_1 - 1$ have total cost not exceeding $2/3$
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Then we can partition the vertices so that:

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- C contains at most $L(l_1) + L(l_2) + \max\{0, 2(l_2 - l_1 - 1)\}$ vertices

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- 1 If $l_1 \geq l_2$ then the lemma is true
 - A would contain the vertices on levels 0 through $l_1 - 1$ (cost is less than $2/3$)
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- 2 If $l_1 < l_2$ the lemma still hold true
- Delete all vertices on levels l_1 and l_2 , partitioning the graph into 3 part. (Only the middle one can have a cost exceeding $2/3$)
 - If it costs more than $2/3$:
 - delete all the vertices on l_2 and above
 - shrink those on l_1 and below to a single one.
 - Then the new graph contains a spanning tree with radius $l_2 - 1 - l_1$ and we can apply lemma 2, which results in A^* , B^* , C^*
 - Then A would be assigned A^* or B^* depending on which has higher cost.
 C gets the vertices on l_1 and l_2 as well as C^* .
And B is the rest.

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Theorem 4

Given

- G is a n -vertex planar graph
- G 's vertices have nonnegative costs summing to no more than one

Result

Then G 's vertices can be partitioned into the sets A , B and C so that:

- A and B both cost at most $2/3$
- C contains no more than $2\sqrt{2}\sqrt{n}$ vertices

Proof of theorem 4

- 1 If G is connected, the theorem is obviously true, because we can layer the graph and apply lemma 2
- 2 If G is not connected (Let $G_1, G_2, G_3, \dots, G_k$ with vertices V_1, V_2, \dots, V_k respectively). Then we have the following cases:
 - No component has cost exceeding $1/3$. Then let i be the minimal index so that $A = V_1 \cup V_2 \cup \dots \cup V_i$'s cost exceeds $1/3$. Then $B = V_{i+1} \cup V_{i+2} \cup \dots \cup V_k$ and $C = \emptyset$
 - If some G_i has cost between $1/3$ and $2/3$, then we simply make $A = V_i$, B would be left with all the other vertices that arent in A and $C = \emptyset$
 - If some G_i 's cost exceeds $2/3$ we do step 1, resulting in A^*, B^*, C^* . Then let A be the greater between A^* and B^* , $C = C^*$ and B be the rest.
($A = \frac{2}{3} * \frac{2}{3} = \frac{4}{9} < \frac{6}{9} = \frac{2}{3}$ in the worst case and $B = \frac{5}{9} < \frac{6}{9}$ in this case)

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The Algorithm

- 1 Find a **planar embedding** of G
- 2 Find the **connected components** and determine the cost of each one.
 - If none of the components costs exceed $2/3$ we can apply Theorem 4 and be done.
 - Else we have to go to step 3.
- 3 Do a breadth-first **spanning tree** of the most costly component. Compute the **level of each vertex** and the number of vertices on each level.
- 4 Find **level l_1** such that
 - the total cost on levels 0 to $l_1 - 1$ is under $1/2$
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- Find the **highest level** $l_0 \leq l_1$ such that $L(l_0) + 2(l_1 - l_0) \leq 2\sqrt{k}$. Find the **smallest level** $l_2 \geq l_1 + 1$ such that $L(l_2) + 2(l_2 - l_1 - 1) \leq 2\sqrt{n - k}$.
- Delete** all vertices on levels l_2 and above. **Shrink** all vertices on levels 0 through l_0 .
- Do a breadth-first **spanning tree** rooted at x . Record all the parent of each vertex and the total cost of all descendants + the cost of the vertex itself. **Triangulate**.
- Let (v_1, w_1) be a nontree edge and find a **cycle** by following parents. Find the cost "inside" and "outside" the cycle by scanning the .
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Maximum independent set (NP-complete)

Theorem 5

Given is:

- Planar n -vertex graph G with nonnegative vertex costs
- $0 \leq \varepsilon \leq 1$

Then we can find:

- A set C of $\mathcal{O}(\sqrt{n/\varepsilon})$ whose removal leaves G with no connected component of cost exceeding ε

C can be found in $\mathcal{O}(n \log n)$

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Proof of Theorem 5

- 1 Find a separation of G by applying Theorem 4 until there are no more connected components with cost more than ε
- 2 The runtime is $\mathcal{O}(n \log n)$ as there we have $\mathcal{O}(\log n)$ separations each done in a linear time
- 3 C is $\mathcal{O}(\sqrt{n/\varepsilon})$ because we have a separator of size $\mathcal{O}(\sqrt{n})$ which has to be calculated for every separation (number of separations = $\mathcal{O}(n/\varepsilon)$)

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So using Theorem 5 for $\varepsilon = \log n/n$ and each vertex having cost $1/n$ the maximum independent set can be computed in $\mathcal{O}(n^2)$ -time with an error rate of $\mathcal{O}(1/\sqrt{\log n})$

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Theorem 6

Any planar graph with maximum degree k can be embedded in a binary tree so that the average proximity is $\mathcal{O}(k)$

Proof:

- 1 If G has one vertex then T would be a tree of one vertex.
- 2 Otherwise we apply Theorem 4 with each vertex costing $1/n$, resulting in A, B, C
- 3 We choose one of the vertices in C and recursively embed the subgraph induced by $(A \cup C) \setminus \{v\}$ in a binary tree T_1
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