

## Tutorial Exact Algorithms

### Exercise T19

Design an algorithm that solves MINIMUM EXACT SET COVER in time  $O^*(2^{m/2})$  using Split&List.

Recall that this problem is defined as follows: given a finite set  $\mathcal{U} = \{1, \dots, n\}$  and a collection  $\mathcal{F}$  of  $m > 0$  subsets of  $\mathcal{U}$ , you have to find a subset  $\mathcal{F}' \subseteq \mathcal{F}$  of minimum size that partitions  $\mathcal{U}$ .

### Solution

We define the characteristic vector  $v(U)$  of a subset  $U \subseteq \mathcal{U}$  as  $(i_1, \dots, i_n)$  where  $i_j = |\{u \in U \mid j \in u\}|$ . A subset of  $\mathcal{U}$  is an exact set cover if  $v(U) = (1, \dots, 1)$ .

We can now easily compute a minimum exact cover in time  $O^*(2^{m/2})$  by partitioning  $\mathcal{U}$  into  $L$  and  $R$  and computing the  $v(U)$  for each  $U \subseteq L$  and each  $U' \subseteq R$ . Analogously to EXACT SAT, checking whether  $v(U) + v(U') = (1, \dots, 1)$  can be done in time  $O^*(2^{|L|} + 2^{|R|}) = O^*(2^{m/2})$ .

### Exercise T20

Show that VERTEX COVER cannot be solved in time  $O(2^{o(n+m)})$  unless the ETH fails.

### Solution

We know that INDEPENDENT SET cannot be solved in time  $O(2^{o(n+m)})$ . Since a graph  $G = (V, E)$  has a vertex cover of size  $k$  iff  $G$  has an independent set of size  $|V| - k$ , VERTEX COVER cannot be solved in time  $O(2^{o(n+m)})$  unless the ETH fails.

### Exercise T21

Show that DOMINATING SET cannot be solved in time  $O(2^{o(n+m)})$  unless the ETH fails.

### Solution

Let  $G = (V, E)$  and  $k$  be an input instance for VERTEX COVER. We construct  $G' = (V', E')$  such that  $G'$  has a dominating set of size  $k + 1$  iff  $G$  has a vertex cover of size  $k$ . Moreover,  $|V'| + |E'| \in O(|V| + |E|)$ .

We set  $V' = \{x_1, x_2\} \cup V \cup E$  and include the following edges in  $E'$ :

- $\{x_1, x_2\}$
- $\{x_1, v\}$  for all  $v \in V$
- $\{x_1, e\}$  if  $e \in E$  and  $x_1 \in e$

Let  $C$  be a vertex cover in  $G$ . Then  $C$  dominates  $E$  in  $G'$ . Hence,  $C \cup \{x_1\}$  dominates  $G'$ , since  $x_1$  is adjacent to all nodes in  $V$ .

Now let  $D$  be a dominating set in  $G'$  of size  $k + 1$ . Since  $x_2$  is dominated, we have either  $x_1 \in D$  or  $x_2 \in D$ . But since  $N[x_2] \subset N[x_1]$ , we can assume wlog that  $x_1 \in D$ . But this implies, that all nodes in  $V' \setminus E$  are dominated. Since each  $e \in E$  has only neighbors in  $V$  and all these nodes are already dominated, we can assume that  $D \cap E = \emptyset$  by simply exchanging  $e$  with one of its neighbors otherwise.

Since  $D$  is dominating and since  $D \cap E = \emptyset$ , each  $e \in E$  is adjacent to some  $v \in V \cap D$  in the graph  $G'$ . But this implies that  $D \setminus \{x_1\}$  is a vertex cover in  $G$  of size  $k$ .

Clearly  $|E'| = 2|E| + |V| + 1$  and  $|V'| = |E| + |V| + 2$ . Therefore, DOMINATING SET cannot be solved in time  $O(2^{o(n+m)})$  unless VERTEX COVER can be solved in time  $O(2^{o(n+m)})$ . But the latter would imply that the ETH fails.

### Homework Assignment H19 (10 Points)

Let  $a, b, c, b' \in \mathbf{N}^m$  and  $\leq_l$  the lexicographical ordering of vectors. Proof that  $a + b > c$  and  $b' > b$  implies  $a + b' > c$ .

#### Solution

Let for a vector  $v$  the  $i$ -th position of  $v$  denoted by  $v[i]$ .

Let  $a + b > c$  and  $i$  the first position such  $(a + b)[i] > c[i]$ . Such a position exists since all vectors are of length  $m$ . Then we have  $(a + b)[j] = c[j]$  for all  $j < i$ .

Moreover, let  $s$  be the first position such that  $b'[s] > b[s]$ . Again, such a position must exist.

If  $s \leq i$ , we have  $(a + b')[j] = (a + b)[j] = c[j]$  for all  $j < s$  and  $(a + b')[s] > (a + b)[s] = c[s]$ , and therefore  $a + b' > c$ .

If  $s > i$ , we have  $(a + b')[j] = (a + b)[j] = c[j]$  for all  $j < i$  and  $(a + b')[i] = (a + b)[i] > c[i]$ , which implies  $a + b' > c$ .

### Homework Assignment H20 (10 Points)

Proof that in Strassen's Algorithm, we have

$$\begin{aligned} C_{1,2} &= M_3 + M_5 \\ C_{2,1} &= M_2 + M_4 \\ C_{2,2} &= M_1 + M_3 + M_6 - M_2 \end{aligned}$$

#### Solution

We have

$$M_3 + M_5 = A_{1,1}(B_{1,2} - B_{2,2}) + (A_{1,1} + A_{1,2})B_{2,2} = A_{1,1}B_{1,2} + A_{1,2}B_{2,2} = C_{1,2}$$

and

$$M_4 + M_2 = A_{2,2}(B_{2,1} - B_{1,1}) + (A_{2,1} + A_{2,2})B_{1,1} = A_{2,2}B_{2,1} + A_{2,1}B_{1,1} = C_{2,1}.$$

Moreover,

$$\begin{aligned}
& M1 + M3 + M6 - M2 \\
= & (A_{1,1} + A_{2,2})(B_{1,1} + B_{2,2}) + A_{1,1}(B_{1,2} - B_{2,2}) + (A_{2,1} - A_{1,1})(B_{1,1} + B_{1,2}) \\
& - (A_{2,1} + A_{2,2})B_{1,1} \\
= & A_{1,1}B_{1,1} + A_{1,1}B_{2,2} + A_{2,2}B_{1,1} + A_{2,2}B_{2,2} + A_{1,1}B_{1,2} - A_{1,1}B_{2,2} \\
& + A_{2,1}B_{1,1} + A_{2,1}B_{1,2} - A_{1,1}B_{1,1} - A_{1,1}B_{1,2} - A_{2,1}B_{1,1} - A_{2,2}B_{1,1} \\
= & A_{1,1}B_{1,1} - A_{1,1}B_{1,1} + A_{1,1}B_{2,2} - A_{1,1}B_{2,2} + A_{2,2}B_{1,1} - A_{2,2}B_{1,1} \\
& + A_{2,2}B_{2,2} + A_{1,1}B_{1,2} - A_{1,1}B_{1,2} + A_{2,1}B_{1,1} - A_{2,1}B_{1,1} + A_{2,1}B_{1,2} \\
= & A_{2,2}B_{2,2} + A_{2,1}B_{1,2} = C_{2,2}
\end{aligned}$$

### Homework Assignment H21 (10 Bonus Points)

Show that MAXIMUM LEAF SPANNING TREE cannot be solved in time  $O(2^{o(n+m)})$  unless the ETH fails.

The MAXIMUM LEAF SPANNING TREE is defined as:

Input: A graph  $G = (V, E)$ , a number  $k \in \mathbf{N}$

Question: Is there a spanning tree in  $G$  with at least  $k$  leaves.

### Solution

Recall that the solution to Exercise T21. In the proof, we can always assume that the dominating set is always connected, as  $x_1$  belongs to the solution and otherwise only nodes from  $V$ . Hence, the graph induced by the dominating set is connected.

Now we show that a graph  $G$  has a connected dominating set of size  $k$  iff  $G$  has a spanning tree with at least  $|V| - k$  nodes.

Let  $V' \subseteq V$  be a connected dominating set in  $G$ . Thus,  $V'$  contains a spanning tree  $T'$ . All other nodes are adjacent to  $V'$ , since  $V'$  is dominating. By connecting each  $v \in V \setminus V'$ , we obtain a spanning tree  $T$  for  $G$ . Clearly, this tree has at least  $|V| - k$  leaves.

Now let  $T$  be a spanning tree in  $G$  with at least  $|V| - k$  leaves. Since  $T$  is a spanning tree, all nodes in  $V$  are adjacent to the inner nodes of  $T$ . These nodes are thus dominating and also connected. Thus, the graph has a connected dominating set of size  $k$ .

Thus, instead of finding a dominating set of size  $k + 1$  in the reduction from T21, we can ask for a spanning tree with at least  $|V| - (k + 1)$  nodes.

If we could find such a tree in  $O(2^{o(n+m)})$ , we could also solve VERTEX COVER in  $O(2^{o(n+m)})$ . But this would imply that the ETH fails.

### Homework Assignment H22 (10 Bonus Points)

Design an algorithm that solves PARTIAL VERTEX COVER in time  $O^*(2^{\omega n/3})$ .

The PARTIAL VERTEX COVER is defined as:

Input: A graph  $G = (V, E)$ , numbers  $k, t \in \mathbf{N}$

Question: Is there a  $V' \subseteq V$  with  $|V'| = k$  such that  $|\{e \in E \mid e \cap V' \neq \emptyset\}| \geq t$

### Solution

We will solve this problem analogously to MAXCUT. That is, we will construct a graph  $G'$  that contains a triangle of cost  $t$  iff there is a vertex set covering  $t$  in  $G$ . Finding the triangle is then the same as in the algorithm for MAXCUT.

First, we partition  $V$  into  $V_1, V_2$ , and  $V_3$ . Then for each  $k_1 + k_2 + k_3$  we construct the graph  $G = (V', E')$  as follows: For each subset  $V' \subseteq V_i$  with  $|V'| = k_i$ , there is a node in  $V'$ .

(In the following, we let  $V_4 = V_1$ .)

For each  $X \subseteq V_i$  and  $Y \subseteq V_{i+1}$ , we add an edge with cost  $c_i + c_{i,i+1}$ , where  $c_i = |\{e \in E \mid e \cap X \neq \emptyset, e \in V_i\}|$  denotes the number of edges covered by  $X$  that are completely contained in  $V_i$  and

$c_{i,i+1} = |\{e \in E \mid e \cap (X \cup Y) \neq \emptyset, e \in V_i \cup V_{i+1}\}|$  denotes the number of edges covered by  $X$  and  $Y$  that are completely contained in  $V_i \cup V_{i+1}$ .

Now let  $v_1, v_2, v_3$  be a triangle in  $G$  and  $V'$  be the corresponding node set in  $G$ . Since each edge that is covered by  $V'$  is counted only in the edge weight of a single edge  $v_i, v_j$ ,  $V'$  covers at least  $t$  edges.

Moreover, if  $V'$  covers  $t$  edges, the triangle  $v_1, v_2, v_3$  has costs  $t$ , since each covered edge is counted in some edge.

Hence, we can solve PARTIAL VERTEX COVER in time  $O^*(2^{\omega n/3})$  by using the algorithm for MAXCUT with an additional overhead of  $k^3$ .